

## Amundi HK Portfolios Subscription Form 東方匯理香港組合認購表格

This form can be used by existing account holders only. Please read the important notes on the last page carefully and complete this form in block capitals. Please note that unclear subscription instructions cannot be processed. If you need any assistance, please call HSBC Institutional Trust Services (Asia) Limited at (852) 3663 5500.

本表格只供現有客戶使用。請於填寫本表格前，小心細閱最後一頁之重要事項及以正楷填寫資料。請注意，如認購指示不清晰，其交易指示將不能執行。如有查詢，請致電滙豐機構信託服務(亞洲)有限公司 (852) 3663 5500。

First Holder  
第一持有人： \_\_\_\_\_

Second Holder  
第二持有人： \_\_\_\_\_

Client No.  
客戶號碼： \_\_\_\_\_

Contact Tel.  
聯絡電話： \_\_\_\_\_

### Subscription Details 認購基金詳情

ISIN 國際證券識別編碼	Name of Fund 基金名稱	Share Class 股份類別	Currency 貨幣	Investment Amount/Units 金額/基金單位
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____

If you do not explicitly specify, it is assumed that you instruct to invest in Accumulation Shares. If you want to invest in Distribution Shares, please indicate by placing a  in this box  and complete the information under "Dividend Instruction" below.

閣下如沒有明確指示，本行則假設閣下選擇投資累積股份。如欲選擇投資派息股份，請於空格  內填上  號及填寫下列「股息指示」資料。

Dividends Instruction 股息指示 (only applicable for investment in Distribution Shares 只適用於投資派息股份)

To be paid by telegraphic transfer in the currency of the relevant Class of the Fund according to the below instructions. Please note that all charges associated with telegraphic transfer will be at the expense of the investor. (Dividend payment can be paid in a currency other than the Class Currency of the relevant Fund at the request and expense of the investor.)

股息將以基金有關類別的貨幣以電匯方式支付至下列銀行帳戶。所有電匯相關的費用均由投資者負責支付。(投資者可要求股息以其他貨幣支付，而相關的費用均由投資者負責支付。)

Name of Bank 銀行名稱: \_\_\_\_\_

Bank Address 銀行地址: \_\_\_\_\_

Account Name 戶口名稱: \_\_\_\_\_

Account Number 戶口號碼: \_\_\_\_\_ Account Type & Currency 戶口類別: \_\_\_\_\_

Correspondent Bank Name (if applicable) 中介銀行名稱(如適用): \_\_\_\_\_

Account No. 戶口號碼 \_\_\_\_\_ Address 地址 \_\_\_\_\_

**Knowledge in derivatives 衍生產品之認識**

Please note that some sub-funds may require applicants to possess related knowledge in derivatives. Applicants who would like to subscribe those sub-funds but without the knowledge in derivatives may therefore not be accepted. Therefore, please indicate your knowledge in derivatives. If the question is not answered, you will be assumed not possessing knowledge in derivative products.

請注意部份子基金或要求投資者需對衍生產品有相關的認識。因此，投資者如沒有對衍生產品方面的認識，其對這些子基金的認購或不被接納。因此，請闡明閣下或 貴公司對衍生產品方面的認識。如下述問題並未回答，將假定您並沒有衍生產品方面的認識。

**Individual or Joint Holders 個人或聯名投資者**

	<u>1st Holder 第一持有人</u>	<u>2nd Holder 第二持有人</u>
<b>No, I do not have any knowledge in derivatives</b> 沒有，我並沒有任何衍生產品方面的認識	<input type="checkbox"/>	<input type="checkbox"/>
<b>Yes, I possess knowledge in derivatives as I have</b> 有，我對衍生產品有認識，因為我曾/擁有		
- completed a training or a course on derivative products 修讀並完成有關衍生產品的培訓或課程	<input type="checkbox"/>	<input type="checkbox"/>
- executed 5 or more transactions in any derivative products within the past 3 years 在過去 3 年內進行不少於 5 次有關衍生產品的交易	<input type="checkbox"/>	<input type="checkbox"/>
- working experience related to derivative products 衍生產品相關的工作經驗	<input type="checkbox"/>	<input type="checkbox"/>
- Others, please specify: 其他，請註明:	_____	_____
	_____	_____
	_____	_____

**Corporation 公司投資者**

	<u>Corporation 公司</u>
<b>No, our corporation does not have any knowledge in derivatives</b> 沒有，本公司並沒有任何衍生產品方面的認識	<input type="checkbox"/>
<b>Yes, our corporation possesses knowledge in derivatives as it has</b> 有，本公司對衍生產品有認識，因為它曾/擁有	
- executed 5 or more transactions in any derivative products within the past 3 years 在過去 3 年內進行不少於 5 次有關衍生產品的交易	<input type="checkbox"/>
- business activities related to derivative products 衍生產品相關的業務活動	<input type="checkbox"/>
- Others, please specify: 其他，請註明:	_____
	_____

**How to Make Payment 怎樣付款**

No cash or third party payment will be accepted. 不接受現金或第三者名義的付款。

**Cheques / Bank Draft 支票/ 銀行本票:**

Should be made payable to the corresponding A/C Name of relevant currency (*please refer to the 'A/C Name' listed under the 'Telegraphic & Bank Transfers'*) and forward the cheque or Bank Draft together with this form to "HSBC Institutional Trust Services (Asia) Limited" (see below for address.)  
 請附上支票或銀行本票，連同本表格交回「滙豐機構信託服務(亞洲)有限公司」，支票抬頭為相關貨幣之相對應帳戶名稱 ("A/C Name")，有關的帳戶名稱已列於「電匯」下方。

**Telegraphic & Bank Transfers 電匯**

Should be remitted in the relevant currency to the banks listed below. A copy of the transfer receipt or acknowledgement from your banker must be sent together with this form.

請電匯款項至下列指定銀行，連同銀行收據及本表格一併交回本公司。

<b>HK\$ TT</b>	<b>Bank:</b> <b>SWIFT:</b> <b>A/C Name:</b> <b>A/C No.:</b> <b>Quoting Reference:</b>	<b>HSBC Hong Kong</b> <b>HSBCHKHHHKH</b> <b>HSBC Institutional Trust Services (Asia) Limited-IFS Subscription Account</b> <b>502-547839-001</b> by order of [name of subscriber] for subscription of XXXXX Fund
<b>US\$ TT</b>	<b>Bank:</b> <b>SWIFT:</b> <b>A/C Name:</b> <b>A/C No.:</b> <b>Quoting Reference:</b>	<b>HSBC Bank USA</b> <b>MRMDUS33</b> <b>HSBC Institutional Trust Services (Asia) Limited-IFS Subscription Account</b> <b>000-141160</b> by order of [name of subscriber] for subscription of XXXXX Fund
<b>SG\$ TT</b>	<b>Bank:</b> <b>Swift:</b> <b>A/C Name:</b> <b>A/C No.:</b> <b>Quoting reference:</b>	<b>The HK and Shanghai Banking Corporation Ltd</b> <b>HSBCHKHHGCC</b> <b>HSBC Institutional Trust Services (Asia) Limited-FS A/C</b> <b>502-657778-216</b> by order of [name of subscriber] for subscription of XXXXX Fund
<b>AU\$ TT</b>	<b>Bank:</b> <b>Swift:</b> <b>A/C Name:</b> <b>A/C No.:</b> <b>Quoting reference:</b>	<b>HSBC Hong Kong</b> <b>HSBCHKHH</b> <b>HSBC Institutional Trust Services (Asia) Limited – TP Subscription Account</b> <b>808-847818-203</b> by order of [name of subscriber] for subscription of XXXXX Fund
<b>CNY TT</b>	<b>Bank:</b> <b>Swift:</b> <b>A/C Name:</b> <b>A/C No.:</b> <b>Quoting reference:</b>	<b>HSBC Hong Kong</b> <b>HSBCHKHH</b> <b>HSBC Institutional Trust Services (Asia) Limited – TP Subscription Account</b> <b>808-847818-209</b> by order of [name of subscriber] for subscription of XXXXX Fund
<b>NZD TT</b>	<b>Bank:</b> <b>Swift:</b> <b>A/C Name:</b> <b>A/C No.:</b> <b>Quoting reference:</b>	<b>HSBC New Zealand</b> <b>HSBCNZ2A</b> <b>HKT BULKING - SUBSCRIPTION A/C</b> <b>040-006876-261</b> by order of [name of subscriber] for subscription of XXXXX Fund
<b>GBP TT</b>	<b>Bank:</b> <b>Swift:</b> <b>A/C Name:</b> <b>A/C No.:</b> <b>Quoting reference:</b>	<b>HSBC London</b> <b>MIDLGB22</b> <b>HTHK-IFS Subscription Account</b> <b>400515-58836404</b> by order of [name of subscriber] for subscription of XXXXX Fund
<b>EUR TT</b>	<b>Bank:</b> <b>Swift:</b> <b>A/C Name:</b> <b>A/C No.:</b> <b>IBAN No.:</b> <b>Quoting reference:</b>	<b>HSBC Bank plc GB</b> <b>MIDLGB22</b> <b>HTHK-IFS Subscription Account</b> <b>400515-58836412</b> <b>GB37MIDL40051558836412</b> by order of [name of subscriber] for subscription of XXXXX Fund
<b>CAD TT</b>	<b>Bank:</b> <b>Swift:</b> <b>A/C Name:</b> <b>A/C No.:</b> <b>Quoting reference:</b>	<b>HK and Shanghai Banking Corporation Ltd HK</b> <b>HSBCHKHH</b> <b>HTHK-TP Subscription Account</b> <b>808-847818-204</b> by order of [name of subscriber] for subscription of XXXXX Fund

I/We hereby acknowledge that I/we have received and read and understood the Prospectus/ Explanatory Memorandum of the funds and the "Important Notes" section of this form, and undertake to be bound by the terms and conditions contained therein, as may be amended, modified or supplemented from time to time. I/we am/are aware that if Amundi solicits the sale of or recommend any financial product to me/us, the financial product must be reasonably suitable for me/us having regard to my/our financial situation, investment experience and investment objectives. No other provision of this application form or any other document may Amundi require me/us to sign and no statement may Amundi require me/us to make derogates from this provision. I/We hereby confirm that I/we have read the risk disclosure statement as indicated in this form and in the Prospectus/ Explanatory Memorandum of the funds. I/We acknowledge that I/we have sought independent advice in respect of any questions I/we may have. This acknowledgement applies to this instruction and to any future transactions in relation to the funds.

本人/吾等證實本人/吾等已接獲及閱讀，並了解說明書及本表格內的重要事項，並承諾接受該等文件中所載之條款及限制所約束，及日後作出的不時修訂、修改或補充。本人/吾等注意到，假如東方匯理資產管理向本人/吾等招攬銷售或建議任何金融產品，該金融產品必須是經考慮本人/吾等的財政狀況、投資經驗及投資目標後而認為合理地適合本人/吾等的。本申請表的其他條文或任何其他東方匯理資產管理可能要求本人/吾等簽署的文件及東方匯理資產管理可能要求本人/吾等作出的聲明概不會減損本條款的效力。本人/吾等確認本人/吾等已細閱刊載於本表格及說明書內的風險披露聲明。本人/吾等確認本人/吾等已就本人/吾等可能存有的任何問題尋求獨立的意見。本確認書適用於本指示及將來有關基金的任何交易。

Company's Chop 公司蓋章	Intermediary's Chop 中介商蓋章	For Distributor's Use Only (Commission Arrangement)  祇適用於分銷商填寫 (手續費安排) _____ %
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Signature(s) of registered account holder (s) 註冊客戶簽署：

\_\_\_\_\_  
First Holder 第一持有人

\_\_\_\_\_  
Second Holder 第二持有人

\_\_\_\_\_  
Date 日期

## Important Notes

All holders must sign the request unless you have granted Amundi Hong Kong Limited, or any of its affiliates and/ or their delegates or sub-delegates authority to act upon instructions of any one of the joint holders. A corporation should affix its common seal and sign under the hand of a duly authorized official.

### **Personal Information Collection Statement relating to the Personal Data (Privacy) Ordinance, as amended (the "Ordinance")**

Amundi Hong Kong Limited ("Amundi HK") is committed to maintaining your personal data in accordance with the requirements of the Ordinance and will take all reasonable steps to ensure that your personal data is kept secure against unauthorized access, loss, disclosure and destruction.

This statement clearly stipulates (I) Amundi HK's purposes of data collection and maintenance of data, (II) the classes of persons Amundi HK can transfer personal data to, (III) your rights to access and correct your data and (IV) the framework under which Amundi HK may use your personal data for direct marketing, in compliance with the Ordinance and all other applicable regulations and rules governing personal data use in Hong Kong from time to time.

Nothing in this statement shall limit your rights as a data subject under the Ordinance and all other applicable regulations and rules governing personal data use in Hong Kong from time to time.

#### **I. Purposes of data collection and maintenance of data**

- (a) From time to time, it is necessary for clients and various other individuals ("Data Subject(s)") to supply Amundi HK with data in connection with (i) various matters such as account opening or continuation of relationship, (ii) provision of services to Data Subject(s) and/or (iii) compliance with any applicable law, regulation or guideline issued by any regulatory body or authority.
- (b) Failure to supply such data may result in Amundi HK being unable to open an account or continue with the provision of services to clients.
- (c) The purposes for which data related to Data Subject(s) may be used will vary depending on the nature of the Data Subject(s)' relationship with Amundi HK. Information provided shall be held by Amundi HK or any of its affiliates and/or their delegates or sub-delegates as data processors, as appropriate, and used for any of the following purposes:
- (i) processing applications for accounts and services;
  - (ii) provision of asset management, dealing and advisory services and daily operation of the accounts and services;
  - (iii) investments in other funds managed by Amundi HK or any of its affiliates;
  - (iv) designing financial services or related products for Amundi HK clients' use;
  - (v) promotion and marketing of investment products and/or services as further contemplated under Section IV below;
  - (vi) meeting regulatory requirements of anti-money laundering and counter-terrorist financing binding on Amundi HK or any of its affiliates or their delegates or sub-delegates, or complying with any group policies, procedures or program in relation thereto;
  - (vii) complying with the disclosure obligations, requirements, arrangements binding on Amundi HK or any of its affiliates or their delegates or sub-delegates pursuant to:
    - (1) any law and/or regulation or according to any guideline or guidance given or issued by any legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers; within or outside Hong Kong existing currently or in the future;
    - (2) any present or future contractual or other commitment with local or foreign legal, regulatory, governmental, tax, law enforcement or other authorities or self-regulatory or industry bodies or associations of financial services providers, that is assumed by or imposed on Amundi HK or any of its affiliates by reason of its financial, commercial, business or other interests or activities in or related to the jurisdiction of the relevant local or foreign legal, regulatory, governmental, tax, law enforcement or other authority, or self-regulatory or industry bodies or associations.
  - (viii) enabling an actual or proposed assignee of Amundi HK or any of its affiliates, or participant or sub-participant of the rights of Amundi HK or those of any of its affiliates in respect of the Data Subject(s), to evaluate the transaction intended to be the subject of the assignment, participation or sub-participation;
  - (ix) any other purpose directly related to the above.
- (d) Data collected may be maintained for such period of time which may be required under applicable law and as otherwise needed to fulfill the purposes set out in sub-section (c) above.

#### **II. Classes of persons Amundi HK can transfer personal data to**

- (e) Data held will be kept confidential but Amundi HK may provide such information to the following parties, whether inside or outside Hong Kong, for the purposes set out in sub-section (c) (i) to (ix) above:
- (i) holding company, subsidiaries and/or affiliates of Amundi HK;
  - (ii) agents, contractors, intermediaries and / or service providers of Amundi HK or any of its affiliates providing administrative services, telecommunication services, mailing services, data processing services, data storage services, information technology services, clearing and settlement services, registrar services, custodian services, share distribution services, securities and investment services and/or audit services or other services to Amundi HK in connection with the operation of its business;
  - (iii) any person under a duty of confidentiality to Amundi HK including any of its affiliates which has undertaken to keep such information confidential;
  - (iv) any person to whom Amundi HK or any of its affiliates is under an obligation or otherwise required to make disclosure under the requirements of any law binding on or applying to Amundi HK or any of its affiliates, or any disclosure under and for the purpose of any guidelines or guidance given or issued by any legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers with which Amundi HK or any of its affiliates is expected to comply, or any disclosure pursuant to any contractual or other commitment of Amundi HK or any of its affiliates with local or foreign legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers, all of which may be within or outside Hong Kong and may be existing currently and in the future;
  - (v) any actual or proposed assignee of Amundi HK or any of its affiliates, or participant or sub-participant or transferee of the rights of Amundi HK or those of any of its affiliates in respect of the Data Subject(s);
  - (vi) charitable or non-profit making organizations; and
  - (vii) any person or party to whom Amundi HK or its affiliates is under an obligation to make disclosure under the requirements of any law binding on Amundi HK or any of its affiliates.
- (f) Protecting your privacy is a priority to us. Your information will be held in confidence and not passed to any third party, other than as already indicated above, without your permission or unless required by law.

- (g) Within Amundi HK, access to non-public information about a client is restricted to employees who need to know the information to provide products or services to the client. Amundi HK maintains physical, electronic and procedural safeguards that protect client information.

#### **III. Right to access and correct personal data**

- (h) Under the Ordinance, you have the right:
- (i) to check whether Amundi HK holds data about you, and have access to such data;
  - (ii) to require Amundi HK to correct any data relating to you which is inaccurate;
  - (iii) to ascertain Amundi HK's policies and practices in relation to data and to be informed of the kind of personal data held by Amundi HK.
- (i) In accordance with the terms of the Ordinance, Amundi HK has the right to charge a reasonable fee for the processing of any data access request.
- (j) Requests for access to data or correction of data or for information regarding policies and practices and kind of data held shall be sent to the following address:

**Data Protection Officer**  
**Amundi Hong Kong Limited**  
**901-908 One Pacific Place**  
**No. 88 Queensway, Hong Kong**

Please remember to identify yourself when writing to the Data Protection Officer.

#### **IV. Use of personal data by Amundi HK in direct marketing**

- (k) Amundi HK intends to use Data Subject(s) name(s), job title(s), mailing address(es), email address(es), phone number(s), fax number(s), products and services portfolio information, transaction pattern and behavior and/or financial background in marketing communication such as direct-mails, emails, and/or telephone calls in relation to the promotion and the marketing of investment products managed by and/or investment strategies or services developed by Amundi HK, its holding company, subsidiaries and/or affiliates, markets updates and invitations to events, as well as donations and contributions for charitable and/or non-profit making purposes, and Amundi HK requires the Data Subject(s)' written consent for that purpose.
- (l) In addition to marketing itself investment products managed by and/or investment strategies or services developed by Amundi HK, its holding company, subsidiaries and/or affiliates, markets updates and invitations to events, as well as donations and contributions for charitable and/or non-profit making purposes (together hereinafter referred to as the "Services and Products"), Amundi HK also intends to provide the personal data described in sub-section (k) above to the holding company, the subsidiaries and/or the affiliates of Amundi HK for the use by them in marketing those Services and Products, and Amundi HK requires the Data Subject(s)' written consent for that purpose.
- (m) Amundi HK may receive money or other property in return for providing the personal data to the other parties described in sub-section (l) above and, when requesting the Data Subject(s)' written consent, Amundi HK will inform the relevant Data Subject(s) if Amundi HK will receive any money or other property in return for providing the data to such other parties.
- (n) Amundi HK may not use personal data in direct marketing as described in sub-section (k) or provide personal data for use in direct marketing as described in sub-section (l) above, unless it has received the Data Subject(s)' relevant written consent. You may provide your consent for Amundi HK to use your personal data in direct marketing as described in sub-section (k) and/or provide your personal data to other parties for use in direct marketing as described in sub-section (l) by notifying the Marketing Department of Amundi HK, free of charge, to the following address:

**Amundi Hong Kong Limited**  
**Marketing Department**  
**901-908 One Pacific Place**  
**No. 88 Queensway, Hong Kong**

- (o) Please note that, should you decide to give your consent with respect to the use by Amundi HK of your personal data in direct marketing as described in sub-section (k) or the provision by Amundi HK of personal data for use in direct marketing as described in sub-section (l) above, you may subsequently, at any time and free of charge, request Amundi HK to cease using your personal data in direct marketing or providing your personal data for use in direct marketing, by sending such a request in writing to the Marketing Department of Amundi HK, to the address mentioned in sub-section (n) above.

#### **Risk Disclosure Statement**

Investment involves risk. The price of investment products may move up or down. Losses may be incurred as well as profits made as a result of buying and selling investment products. Past performance is no guide to future performance. You should carefully consider whether any investment product is appropriate for you in view of your personal circumstances before any decision to invest. Investors should refer to the individual product prospectus/explanatory memorandum for further details and risks involved. Transactions of the funds may be settled overseas, fund assets received or held outside Hong Kong are subject to the applicable laws and regulations of the relevant overseas jurisdiction which may be different from the Securities and Futures Ordinance (Cap.571) and the rules made thereunder. Consequently, such fund assets may not enjoy the same protection as that conferred on client assets received or held in Hong Kong.



## 重要事項

除非所有基金戶口持有人已授權東方匯理資產管理香港有限公司或其聯營公司及/或其代表或次代表可根據其中任何一名持有人的指示進行有關之交易，所有戶口持有人必須簽署有關之交易指示。公司戶口須蓋上公司印鑑及由該公司授權之人士簽署。

### 有關已修訂的《個人資料(私隱)條例》(「條例」)的個人資料收集聲明

東方匯理資產管理香港有限公司(「東方匯理」)將按條例的規定，竭力保存閣下的個人資料，並將採取一切合理步驟，確保閣下的個人資料妥善保存，不被非法使用、遺失、披露及損毀。

本聲明清楚規定(I)東方匯理收集及保存資料的目的，(II)東方匯理可向其傳送個人資料的各類人士，(III)閣下對閣下資料的查閱和更正權利及(IV)東方匯理可據以運用閣下的個人資料進行直接促銷的框架，惟須遵守條例及香港不時制定的所有其他有關使用個人資料的適用規定及規則。

本聲明任何內容並不局限閣下根據條例及香港不時制定的所有其他有關使用個人資料的適用規定及規則作為資料當事人的權利。

#### I. 收集及保存有關資料的目的

- (a) 客戶及其他人士(「資料當事人」)需要不時就(i)各項事宜例如開立帳戶，或延續關係，(ii)提供服務予資料當事人及/或(iii)遵守任何監管機構或當局發出的通用法律、規定或指引，向東方匯理提供有關的資料。
- (b) 如未能提供有關資料，可能會導致東方匯理無法為客戶開立帳戶或繼續提供服務。
- (c) 資料當事人的資料之可能用途視乎資料當事人與東方匯理的關係屬何性質而定。所提供的資料將由東方匯理或其聯營公司及/或其代表或次代表作為資料處理人(以適用者為準)持有，供下列目的之用：
- 處理帳戶及有關服務的申請程序；
  - 提供資產管理、買賣及顧問服務及日常帳戶及有關服務行政運作；
  - 投資由東方匯理或其聯營公司管理之其他基金；
  - 設計金融服務或相關產品供東方匯理客戶之用；
  - 宣傳推廣下文第IV節進一步說明的投資產品及/或服務；
  - 符合對東方匯理或其聯營公司或其代表或次代表具有約束力的有關反洗黑錢及打擊恐怖主義融資的監管規定，或遵守任何與此有關的集團政策、程序或計劃；
  - 履行根據下列各項對東方匯理或其聯營公司或其代表或次代表具約束力的披露責任、規定、安排：
    - 香港境內或境外、目前或將來存在的任何法律及/或規定或任何由香港境內或境外、目前或將來存在的任何法律、監管、政府、稅務、執法或其他機關，或金融服務供應商的自律監管或行業組織或協會作出或發出的任何指引或指導；
    - 東方匯理或其聯營公司因其位於或跟相關本地或外地的法律、監管、政府、稅務、執法或其他機關，或自律監管或行業組織或協會的司法管轄區有關的金融、商業、業務或其他利益或活動，而向該等本地或外地的法律、監管、政府、稅務、執法或其他機關，或金融服務供應商的自律監管或行業組織或協會承擔或被彼等施加的任何目前或將來的合約或其他承諾。
  - (viii) 使東方匯理或其聯營公司的實際或建議承讓人，或就東方匯理或其聯營公司對資料當事人的權利的參與人或附屬參與人評核其擬承讓、參與或附屬參與所涉交易；
  - (ix) 任何其他與上述各項直接有關的目的。
- (d) 收集所得來的資料，將按適用法律規定及其他為達成上述(c)段用途所需的時期予以保存。

#### II. 東方匯理可向其傳送個人資料的各類人士

- (e) 所持有之資料將會保密，但東方匯理可能會把該等資料提供予下述的本港或海外各方，作前述(c)(i)至(ix)段列出的用途：
- 東方匯理的控股公司、附屬公司及/或聯營公司；
  - 就東方匯理的業務經營向東方匯理提供行政服務、電訊服務、郵遞服務、數據處理服務、數據儲存服務、資訊科技服務、結算交收服務、登記服務、保管服務、股票分發服務、證券及投資服務及/或核數服務或其他服務的東方匯理或其聯營公司的代理人、承包商、中介人及/或服務供應商；
  - 任何對東方匯理包括其任何聯營公司負有保密責任並已承諾對有關資料保密的人士；
  - 根據對東方匯理或其聯營公司有約束力或適用於東方匯理或其聯營公司之任何法律規定，或根據並為施行由規管東方匯理或其聯營公司之任何法律、監管、政府、稅務、執法或其他機關，或金融服務供應商的自律監管或行業組織或協會作出或發出的並期望東方匯理或其聯營公司遵守的任何指引或指導，或根據東方匯理或其聯營公司向本地或外地的法律、監管、政府、稅務、執法或其他機關，或金融服務供應商的自律監管或行業組織或協會的任何合約或其他承諾(以上不論於香港境內或境外及不論目前或將來存在的)，而有義務或以其他方式被要求向其披露該等資料的任何人士；
  - 東方匯理或其聯營公司的任何實際或建議承讓人，或就東方匯理或其聯營公司對資料當事人的權利的參與人、附屬參與人或受讓人；
  - 慈善團體或非牟利組織；及
  - 東方匯理或其聯營公司根據任何對東方匯理或其聯營公司具有約束力的規定對其負有披露責任的任何人士或各方。
- (f) 保障閣下的私隱，對我們甚為重大。閣下的資料將獲保密，除非已在上述提及或屬法例規定，否則不會在未經閣下同意的情况下轉交任何第三方。
- (g) 東方匯理只容許有需要知道資料的員工接觸客戶的非公開資料，以便向客戶提供產品或服務。東方匯理採用實體、電子及程序上之監控措施保障客戶的資料。

#### III. 查閱及更正個人資料的權利

- (h) 根據上述條例，閣下有權：
- 查核東方匯理是否持有閣下資料及查閱該等資料；
  - 要求東方匯理更正閣下的不準確資料；
  - 確定東方匯理有關資料的政策和慣例，以及被告知東方匯理所持個人資料的類別。
- (i) 根據上述條例的條款，東方匯理有權就處理任何查閱資料的要求徵收合理費用。
- (j) 任何關於查閱或更正資料，或索取關於政策與慣例的資料及所持資料類別的要求，應發至下列地址：

香港金鐘道 88 號太古廣場第一期 901-908 室

東方匯理資產管理香港有限公司

保障資料主任

如致函保障資料主任，請務必註明閣下之身份。

#### IV. 東方匯理在直接促銷中就個人資料的使用

- (k) 東方匯理擬把資料當事人之姓名、職銜、郵寄地址、電郵地址、電話號碼、傳真號碼、產品及服務組合資料、交易模式及行為及/或財務背景，用於市場促銷通訊，例如宣傳促銷由東方匯理、其控股公司、附屬公司及/或聯營公司管理的投資產品及/或由東方匯理、其控股公司、附屬公司及/或聯營公司發展的投資策略或服務，市場最新消息及邀請參與活動以及為慈善及/或非牟利用途作出捐款及捐贈的直接郵件、電郵及/或電話話語；東方匯理為此用途須獲得資料當事人書面同意。
- (l) 除自行促銷由東方匯理、其控股公司、附屬公司及/或聯營公司管理的投資產品及/或由東方匯理、其控股公司、附屬公司及/或聯營公司發展的投資策略或服務，市場最新消息及邀請參與活動以及為慈善及/或非牟利用途作出捐款及捐贈(以下合稱「服務及產品」)外，東方匯理亦擬將以上(k)段所述的個人資料提供予東方匯理的控股公司、附屬公司及/或聯營公司，以供該等人士在促銷該等服務及產品時使用，而東方匯理為此用途須獲得資料當事人書面同意。
- (m) 東方匯理可能因如以上(l)段所述將個人資料提供予其他人士而獲得金錢或其他財產的回報。如東方匯理會因提供資料予其他人士而獲得任何金錢或其他財產的回報，東方匯理在徵求資料當事人書面同意時將就此通知有關的資料當事人。
- (n) 除非東方匯理已取得資料當事人有關的書面同意，否則東方匯理不會使用個人資料作(k)段所述的直接促銷或提供個人資料用於上文(i)段所述的直接促銷。閣下如同意東方匯理使用閣下的個人資料作(k)段所述的直接促銷及/或向其他人士提供閣下的個人資料用於上文(i)段所述的直接促銷，可通知東方匯理的市場推廣部，無須支付費用，地址如下：

東方匯理資產管理香港有限公司

市場推廣部

香港金鐘道88號

太古廣場第一期901-908室

- (o) 請注意，閣下如決定同意東方匯理使用閣下的個人資料作(k)段所述的直接促銷或同意東方匯理提供閣下的個人資料用於上文(i)段所述的直接促銷，可隨後於任何時候按上文(n)段所述地址向東方匯理市場推廣部發出書面要求，要求東方匯理停止使用閣下的個人資料作直接促銷或提供閣下的個人資料作直接促銷用途，無須支付費用。

#### 風險披露聲明

投資涉及風險。投資產品價格可升可跌。買賣投資產品均可能帶來虧損，而不一定可賺取利潤。過往表現數據並非未來表現的指標。投資者應在作出任何投資決定前，仔細考慮該投資產品是否適合本身的情況。投資者應參閱個別產品的說明書，並了解產品詳情及所涉風險。基金或可能於海外進行交收，在香港以外地方收取或持有的基金資產，是受到有關海外司法管轄區的適用法律及規則所監管的。這些法律及規則與〈證券及期貨條例〉(第 571 章)及根據該條例制訂的規則可能有所不同。因此，有關基金資產將可能不會享有賦予在香港收取或持有的客戶資產的相同保障。