Amundi HK PORTFOLIOS APPLICATION FORM 東方匯理香港組合基金申請表

Amundi ASSET MANAGEMENT 東方匯理 資產管理

Please read the relevant explanatory memorandum/prospectus (including risk factors, objectives and investment policies, charges and expenses) before you complete this form.
閣下於填寫本申請表前，請細閱有關說明書（包括風險因素、投資目標及政策、費用及開支）。

Amundi Hong Kong Limited is licensed by the Securities and Futures Commission in Hong Kong to carry on Type 1 (dealing in securities), Type 4 (advising on securities) and Type 9 (asset management) regulated activities under the Securities and Futures Ordinance with Central Entity Number AAB444. The address of Amundi Hong Kong Limited is 901-908 One Pacific Place, No. 88 Queensway, Hong Kong.
東方匯理資產管理香港有限公司為根據香港的《證券及期貨條例》已獲香港證券及期貨事務監察委員會發牌經營第一類（證券交易）、第四類（就證券提供意見）及第九類（提供資產管理）規管活動的持牌法團，其中央編號為 AAB444。東方匯理資產管理香港有限公司地址：香港金鐘道 88 號太古廣場第一期 901-908 室。

Please write clearly in English. The dealing instructions cannot be processed with incomplete documentation or unclear instructions.
請以英文正楷清楚填寫。如附件不齊全或指示不清晰，交易指示將不能執行。

1. Type of Account (tick one) 戶口類別（請✓一項）

❏ Individual (complete A) 個人（請填妥 A 欄）

❏ Joint Holders (complete A & B) 聯名（請填妥 A 及 B 欄）

If you wish to authorise Amundi Hong Kong Limited, or any of its affiliates and/or their delegates or sub-delegates to act upon instruction by only one of the joint holders in respect of the subscription, redemption or switching of units or any other matter relating to units in the funds, please tick the "Yes" box. If this box is not ticked, all instructions will require the signatures of all joint holders.
如閣下欲授權予東方匯理資產管理香港有限公司或其關聯公司及/或其代表或次代表在處理有關購入、贖回或轉換單位或任何有關基金單位的事宜，只須遵照其中一位聯名持有人的指示，請在"是"方格內加上✓號，若該方格內未加上✓號，任何指示必需獲得所有聯名持有人的簽署。

❏ Yes 是

❏ Corporation (complete C) 公司（請填妥 C 欄）

Applicants must provide identity document (Please see information overleaf).
申請人必須附上身份證明文件（請參閱背面資料）。

A. First Holder 第一持有人

Mr/Mrs/Ms 先生/太太/女士 (please delete as appropriate 請刪去不適用者）

Surname 姓________________First Name (in full) 名 ____________________________

I.D./Passport No. 身份證/護照號碼________________Nationality 國籍 ___________

Date of birth 出生日期 ____

Country of birth 出生國家 ____

Occupation 職業 ____

Employer Name 僱主名稱 ____

Are you an employee of a company which is licensed or registered with the Securities and Futures Commission in Hong Kong? Yes ____ No ____ (If yes, please provide a Letter of Consent from your current employer of agreeing the opening of this account, please refer to the details in Section 8. Notice)
閣下是否為香港證監會牌照持牌人或註冊人之員工____是____ 否 (如果是，請提供現任僱主的同意書，表示同意您開立此帳戶，詳情請參閱第 8 項注意事項。)

Are you investing (Please tick) 是次投資是屬於(請於空格內填上✓號)

❏ on your own behalf (您本人)
❏ on behalf of third party (代表第三者) (please specific 請註明) ________________

Please also provide the identity document of the third party. See Section 8. Notice for details.
並請提供有關第三者的身份證明文件，詳情請參閱第 8 項注意事項。

If your activity falls within one of the following sectors, please tick the appropriate box. Please note that if your activity falls within one of the following sectors, Amundi Hong Kong Limited, or any of its affiliates and/or their delegates or sub-delegates may perform enhanced due diligence on your application. The processing of your application may be delayed and your application may not be dealt with at the price of the day on which your application is submitted.
如業務屬於以下行業，請於適當空格內填上✓號。請注意如你的業務屬於以下行業，東方匯理資產管理香港有限公司或其關聯公司及/或其代表或次代表可能對你的申請作出更嚴格的查證程序。你的申請可能會被延誤及不能以申請當日的價格成交。

❏ Casinos, gambling 賭場，賭博
❏ Charity 慈善機構
❏ Religious financial institution 宗教金融機構
❏ Art dealership 古董文物經銷商
❏ Jewellery and diamonds 珠寶

Investor Profile 投資者概況

1. Highest Level of Education 最高教育水平
   - ❏ Primary school or below 小學或以下
   - ❏ Bachelor degree 大學學士
   - ❏ Secondary school 中學
   - ❏ Master/Doctor degree 碩士/博士
   - ❏ Diploma/Associates 文憑/副學士
2. Investment Experience 投資經驗
   - ❏ Stocks 股票
   - ❏ Mutual funds/Unit trusts 互惠基金/單位信託基金
   - ❏ Foreign currencies 外幣
   - ❏ Other, please specify: 其他，請列舉 ____________
3. Investment Goal 投資目標
   - ❏ Capital preservation 保護資本
   - ❏ Capital growth 資本增長
   - ❏ Stable income 穩定收入
   - ❏ Other, please specify: 其他，請列舉 ____________
4. Investment Horizon 投資年限
   - ❏ Short term - less than 3 years 短期：少於 3 年
   - ❏ Medium term - 3 to 5 years 中期：3 - 5 年
   - ❏ Long term - over 5 years 長期：5 年以上
5. Source of Invested Capital 資金來源
   - ❏ Savings 儲蓄
   - ❏ Inheritance 遺產繼承
   - ❏ Property income 物業收入
   - ❏ Other, please specify: 其他，請列舉 ____________
6. Monthly Income Range: 每月收入
   - ❏ Below HK$10,000 港幣以下
   - ❏ HK$25,000 港幣 to 至 HK$49,999 港幣
   - ❏ HK$10,000 港幣 to 至 HK$24,999 港幣
   - ❏ Over HK$50,000 港幣或以上
7. Risk Tolerance 風險承擔

   If there is a material loss on my investment principal, I will:
   若本人的投資本金出現重大的虧損，我會：
   - ❏ sell all my investment immediately to avoid any further loss
     立即沽售所有投資，避免進一步虧損
   - ❏ sell half of my investment to limit the loss
     沽售半數的投資，以減低虧損
   - ❏ still hold my investment and examine the market trend for next investment decision
     繼續持有投資，並觀察市場走勢才作下一步的投資決定
   - ❏ see the drop as an opportunity to gradually top-up my investment and lower down my average purchasing price
     視這次跌幅為逐步增持投資及減低平均買入價之機會
   - ❏ immediately buy more of my investment in the hope of a future rebound
     期待日後市場出現回升並立即增持投資
8. Knowledge in derivatives 衍生產品之認識
   - ❏ Yes, as I have 有, 因為我曾/擁有：
     - ❏ completed a training or a course on derivative products
       修讀並完成有關衍生產品的培訓或課程
     - ❏ executed 5 or more transactions in any derivative products within the past 3 years
       在過去 3 年內進行不少於 5 次有關衍生產品的交易
     - ❏ working experience related to derivative products
       衍生產品相關的工作經驗
     - ❏ Others, please specify: 其他，請註明：____________
   - ❏ No 沒有

   Please note that some sub-funds may require applicants to possess related knowledge in derivatives. Applicants who would like to subscribe those sub-funds but without the knowledge in derivatives may therefore not be accepted. If the question is not answered, you will be assumed not possessing knowledge in derivative products.
   請注意部份子基金或要求投資者需對衍生產品有相關的認識。因此，投資者如沒有對衍生產品方面的認識，其對這些子基金的認購或不被接納。如上述問題並未回答，將假定您並沒有衍生產品方面的認識。

B. Second Holder 第二持有人

Mr/Mrs/Ms 先生/太太/女士 (please delete as appropriate 請刪去不適用者）

Surname 姓________________First Name (in full) 名 ____________________________

I.D./Passport No. 身份證/護照號碼________________Nationality 國籍 ___________

Date of birth 出生日期 ____

Country of birth 出生國家 ____

Occupation 職業 ____

Employer Name 僱主名稱 ____

Are you an employee of a company which is licensed or registered with the Securities and Futures Commission in Hong Kong?________ Yes______ No (If yes, please provide a Letter of Consent from your current employer of agreeing the opening of this account, please refer to the details in Section 8. Notice)

閣下是否為香港證監會牌照持牌人或註冊人之員工___是_____否 (如果是，請提供現任僱主 的同意書，表示同意您開立此帳戶，詳情請參閱第 8 項注意事項。)

Are you investing (Please tick) 是次投資是屬於(請於空格內填上✓號)

- ❏ on your own behalf (您本人)
- ❏ on behalf of third party (代表第三者) (please specific 請註明) ____________________

Please also provide the identity document of the third party. See Section 8. Notice for details.
並請提供有關第三者的身份證明文件，詳情請參閱第 8 項注意事項。

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- ❏ Casinos, gambling 賭場，賭博
- ❏ Charity 慈善機構
- ❏ Religious financial institution 宗教金融機構
- ❏ Art dealership 古董文物經銷商
- ❏ Jewellery and diamonds 珠寶

Investor Profile 投資者概況

1. Highest Level of Education 最高教育水平
   - ❏ Primary school or below 小學或以下
   - ❏ Bachelor degree 大學學士
   - ❏ Secondary school 中學
   - ❏ Master/Doctor degree 碩士/博士
   - ❏ Diploma/Associates 文憑/副學士
2. Investment Experience 投資經驗
   - ❏ Stocks 股票
   - ❏ Mutual funds/Unit trusts 互惠基金/單位信託基金
   - ❏ Foreign currencies 外幣
   - ❏ Other, please specify : 其他，請列舉 ____________
3. Investment Goal 投資目標
   - ❏ Capital preservation 保護資本
   - ❏ Capital growth 資本增長
   - ❏ Stable income 穩定收入
   - ❏ Other, please specify : 其他，請列舉 ____________
4. Investment Horizon 投資年限
   - ❏ Short term - less than 3 years 短期：少於 3 年
   - ❏ Medium term - 3 to 5 years 中期：3 - 5 年
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5. Source of Invested Capital 資金來源
   - ❏ Savings 儲蓄
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6. Monthly Income Range: 每月收入
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   - ❏ HK$25,000 港幣 to 至 HK$49,999 港幣
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7. Risk Tolerance 風險承擔

   If there is a material loss on my investment principal, I will:
   若本人的投資本金出現重大的虧損，我會：
   - ❏ sell all my investment immediately to avoid any further loss
     立即沽售所有投資，避免進一步虧損
   - ❏ sell half of my investment to limit the loss
     沽售半數的投資，以減低虧損
   - ❏ still hold my investment and examine the market trend for next investment decision
     繼續持有投資，並觀察市場走勢才作下一步的投資決定
   - ❏ see the drop as an opportunity to gradually top-up my investment and lower down my average purchasing price
     視這次跌幅為逐步增持投資及減低平均買入價之機會
   - ❏ immediately buy more of my investment in the hope of a future rebound
     期待日後市場出現回升並立即增持投資
8. Knowledge in derivatives 衍生產品之認識
   - ❏ Yes, as I have 有, 因為我曾/擁有：
     - ❏ completed a training or a course on derivative products
       修讀並完成有關衍生產品的培訓或課程
     - ❏ executed 5 or more transactions in any derivative products within the past 3 years
       在過去 3 年內進行不少於 5 次有關衍生產品的交易
     - ❏ working experience related to derivative products
       衍生產品相關的工作經驗
     - ❏ Others, please specify: 其他，請註明：____________________
   - ❏ No 沒有

   Please note that some sub-funds may require applicants to possess related knowledge in derivatives. Applicants who would like to subscribe those sub-funds but without the knowledge in derivatives may therefore not be accepted. If the question is not answered, you will be assumed not possessing knowledge in derivative products.

   請注意部份子基金或要求投資者需對衍生產品有相關的認識。因此，投資者如沒有對衍生產品方面的認識，其對這些子基金的認購或不被接納。如上述問題並未回答，將假定您並沒有衍生產品方面的認識。

C. Corporation 公司

Name of Account Holder(s) 戶口持有人名稱 ____________________

Name of Corporation 公司名稱 ____________________

Country of incorporation 註冊成立國家 ____________________

Nature of Business 生意類別 ____________________

Name of contact person 聯絡人名稱____________________

Are you investing (Please tick) 是次投資是屬於(請於空格內填上✓號)

- ❏ on your own behalf (您本人)
- ❏ on behalf of third party (代表第三者) (please specific 請註明) ______________

If your activity falls within one of the following sectors, please tick the appropriate box. Please note that if your activity falls within one of the following sectors, Amundi Hong Kong Limited, or any of its affiliates and/or their delegates or sub-delegates may perform enhanced due diligence on your application. The processing of your application may be delayed and your application may not be dealt with at the price of the day on which your application is submitted.

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- ❏ Charity 慈善機構
- ❏ Religious financial institution 宗教金融機構
- ❏ Art dealership 古董文物經銷商
- ❏ Jewellery and diamonds 珠寶

Investor Profile 投資者概況

1. Investment Experience 投資經驗
   - ❏ Stocks 股票
   - ❏ Mutual funds/Unit trusts 互惠基金/單位信託基金
   - ❏ Foreign currencies 外幣
   - ❏ Other, please specify : 其他，請列舉 ____________
2. Investment Goal 投資目標
   - ❏ Capital preservation 保護資本
   - ❏ Stable income 穩定收入
   - ❏ Capital growth 資本增長
   - ❏ Other, please specify : 其他，請列舉 ____________________
3. Source of Invested Capital 資金來源
   - ❏ Retained profit 留存盈利
   - ❏ Client money of corporate applicant 公司申請人之客戶款項
   - ❏ Shareholdersfunds 股東資金
   - ❏ Other, please specify : 其他，請列舉 ____________________
4. Total assets as stated in the audited financial statements prepared within the recent 16 months 最近 16 個月內經審計的財務報表列出的總資產
   - ❏ Less than HK$40 million 4,000 萬港元以下
   - ❏ HK$40 million or above 4,000 萬港元或以上
5. Risk Tolerance 風險承擔

   If there is a material loss on our investment principal, the corporation will:
   若公司的投資本金出現重大的虧損，公司會：
   - ❏ sell all the investment immediately to avoid any further loss
     立即沽售所有投資，避免進一步虧損
   - ❏ sell half of the investment to limit the loss
     沽售半數的投資，以減低虧損
   - ❏ still hold the investment and examine the market trend for next investment decision
     繼續持有投資，並觀察市場走勢才作下一步的投資決定
   - ❏ see the drop as an opportunity to gradually top-up the investment and lower down its average purchasing price
     視這次跌幅為逐步增持投資及減低平均買入價之機會
   - ❏ immediately buy more of its investment in the hope of a future rebound
     期待日後市場出現回升並立即增持投資
6. Knowledge in derivatives 衍生產品之認識
   - ❏ Yes, as the corporation has 有，因為公司曾/擁有：
     - ❏ executed 5 or more transactions in any derivative products within the past 3 years
       在過去 3 年內進行不少於 5 次有關衍生產品的交易
     - ❏ business activities related to derivative products
       衍生產品相關的業務活動
     - ❏ Others, please specify: 其他，請註明：____________________
   - ❏ No 沒有

   Please note that some sub-funds may require applicants to possess related knowledge in derivatives. Applicants who would like to subscribe those sub-funds but without the knowledge in derivatives may therefore not be accepted. If the question is not answered, you will be assumed not possessing knowledge in derivative products.

   請注意部份子基金或要求投資者需對衍生產品有相關的認識。因此，投資者如沒有對衍生產品方面的認識，其對這些子基金的認購或不被接納。如上述問題並未回答，將假定您並沒有衍生產品方面的認識。

2. Your Address 地址

Residential (for individual clients)/Registered (for corporate clients) Address*

住址（個人客戶）/註冊地址（公司客戶）____________________

____________________ Country 國家__________

Fax No. 圖文傳真號碼__________ Office Tel. No. 辦公室電話__________

Home Tel. No. 住宅電話__________ Mobile Phone No. 流動電話__________

E-mail Address 電郵地址____________________

Permanent Address 永久地址 (if different from the above address 如與上述地址不同)

* A PO Box address will not be accepted for the purposes of registration. Only the address of the first holder will be used for registration purposes. Please provide a proof of address within the last three months. 基金認購申請不接受郵政信箱為登記地址。註冊登記將以第一持有人之地址為準。請提供最近三個月的住址證明。

3. Your Investment 投資金額

ISIN 國際證券識別編碼	Name of the Fund 基金名稱	Share Class 股份類別	Currency of Payment 付款貨幣	Amount of Investment/Units 投資金額/基金單位

4. Your Payment Method (tick as appropriate) 付款方法（請✓適用者）

Please arrange payment according to our bank details and payment procedure overleaf. Please quote your account name with all payments. No cash or third party payment will be accepted.
請按後頁付款方式付款，並註明客戶名稱。不接受現金或以第三者名義的付款。

- ❑ Cheque/Draft 支票/匯票
- ❑ Telegraphic Transfer (enclose a copy of remittance advice with value date) 電匯（附上電匯收據及付款日期）
- ❑ Direct Deposit (enclose bank pay-in slip) 直接存款（附上銀行存款收條）

5. Dividends Instruction 股息指示 (only applicable for investment in distributing dividend 僅適用於投資派息股份）

To be paid by telegraphic transfer in the currency of the relevant Class of the Fund according to the below instructions. Please note that all charges associated with telegraphic transfer will be at the expense of the investor.
股息將以基金有關類別的貨幣以電匯方式支付至下列指定的銀行帳戶。所有電匯引致的費用均由投資者負責支付。
(Dividend payment can be paid in a currency other than the Class Currency of the relevant Fund at the request and expense of the investor.)（投資者可要求股息以其他貨幣支付，而相關的費用均由投資者負責支付。）

Name of Bank 銀行名稱 ____________________

Address of Bank 銀行地址 ____________________

Account Name 戶口名稱 ____________________

Account Number 戶口號碼 ____________________

Type & Currency of a/c:戶口類別 ____________________

Correspondent bank name (if applicable) 中介銀行名稱（如適用）__________

Address 地址__________A/C No. 戶口號碼 __________

6. Redemption Instruction (optional) 贖回指示 （可不填寫）

If you request for cheque payment for your future redemption order, you may choose to receive either HKD or USD cheque. Redemption payment in currency other than HKD and USD is available by telegraphic transfer only.
客戶可選擇以港元或美元支票收取基金贖回款項。客戶如欲收取其他貨幣，本公司只提供以電匯方式收取。
Redemption to be paid by telegraphic transfer according to the below instructions. Please note that all charges associated with telegraphic transfer will be at the expense of the investor.
贖回款項將根據下列指示以電匯方式支付。所有與電匯相關的費用均由投資者負責支付。
(If there is no indication, redemption proceeds will be in the currency of the relevant Class of the Fund.)（如無指示，贖回款項將以基金有關類別的貨幣支付。）

Name of Bank 銀行名稱 ____________________

Address of Bank 銀行地址 ____________________

Account Name 戶口名稱 ____________________

Account Number 戶口號碼 ____________________

Type & Currency of a/c:戶口類別 ____________________

Correspondent bank name (if applicable) 中介銀行名稱（如適用）__________

Address 地址__________A/C No. 戶口號碼 __________

7. How to Make Payment 怎樣付款

Cheques/Bank Draft 支票/銀行本票：
Should be made payable to the corresponding A/C Name of relevant currency (please refer to the `A/C Name' listed under the `Telegraphic & Bank Transfers') and forward the cheque or Bank Draft together with your application form and identity document to "HSBC Institutional Trust Services (Asia) Limited" (see overleaf for address.) 請附上支票或銀行本票，連同基金申請表格及證明文件，一併遞交回「滙豐機構信託服務（亞洲）有限公司（請參閱背面地址）」，支票抬頭為相關貨幣之相對應帳戶名稱 ("A/C Name")，有關的帳戶名稱已列於'電匯'下方。

Telegraphic & Bank Transfers 電匯：
Should be remitted in the relevant currency to the banks listed below. A copy of the transfer receipt or acknowledgement from your banker must be sent together with your application form and identity document.
請電匯款項至以下指定銀行，並連同銀行收據、基金申請表格及證明文件一併遞交本公司。

Name of Remitting Bank 匯款銀行名稱 ____________________

Account Number 帳戶編號 ____________________

HK$ TT	Bank:	HSBC Hong Kong
	Swift:	HSBCHKHHHKH
	A/C Name:	HSBC Institutional Trust Services (Asia) Limited IFS Subscription Account
	A/C No:	502-547839-001
	Quoting Reference:	by order of [name of subscriber] for subscription of XXXXX Fund
US$ TT	Bank:	HSBC Bank USA
	Swift:	MRMDUS33
	A/C Name:	HSBC Institutional Trust Services (Asia) Limited-IFS Subscription Account
	A/C No:	000-141160
	Quoting Reference:	by order of [name of subscriber] for subscription of XXXXX Fund
SG$ TT	Bank:	The HK and Shanghai Banking Corporation Ltd
	Swift:	HSBCHKHHGCC
	A/C Name:	HSBC Institutional Trust Services (Asia) Limited-FS A/C
	A/C No.:	502-657778-216
	Quoting reference:	by order of [name of subscriber] for subscription of XXXXX Fund
AU$ TT	Bank:	HSBC Hong Kong
	Swift:	HSBCHKHH
	A/C Name:	HSBC Institutional Trust Services (Asia) Limited – TP Subscription Account
	A/C No.:	808-847818-203
	Quoting reference:	by order of [name of subscriber] for subscription of XXXXX Fund
CNY TT	Bank:	HSBC Hong Kong
	Swift:	HSBCHKHH
	A/C Name:	HSBC Institutional Trust Services (Asia) Limited – TP Subscription Account
	A/C No.:	808-847818-209
	Quoting reference:	by order of [name of subscriber] for subscription of XXXXX Fund
NZD TT	Bank:	HSBC New Zealand
	Swift:	HSBCNZ2A
	A/C Name:	HKT BULKING - SUBSCRIPTION A/C
	A/C No.:	040-006876-261
	Quoting reference:	by order of [name of subscriber] for subscription of XXXXX Fund
GBP TT	Bank:	HSBC London
	Swift:	MIDLGB22
	A/C Name:	HTHK-IFS Subscription Account
	A/C No.:	400515-58836404
	Quoting reference:	by order of [name of subscriber] for subscription of XXXXX Fund
EUR TT	Bank:	HSBC Bank plc GB
	Swift:	MIDLGB22
	A/C Name:	HTHK-IFS Subscription Account
	A/C No.:	400515-58836412
	IBAN No.:	GB37MIDL40051558836412
	Quoting reference:	by order of [name of subscriber] for subscription of XXXXX Fund
CAD TT	Bank:	HK and Shanghai Banking Corp Ltd HK
	Swift:	HSBCHKHH
	A/C Name:	HTHK-TP Subscription Account
	A/C No.:	808-847818-204
	Quoting reference:	by order of [name of subscriber] for subscription of XXXXX Fund

8. Notice 注意事項

Before sending your Application Form, please check the following:
- ❑ Ensure you / all of you have completed and signed the Application Form.
- ❑ Arrange the payment according to the instruction.
- ❑ Enclose a copy of your remittance instruction or direct deposit payment slip. (if applicable)

For each Individual Applicant, please enclose:
- ❑ a certified copy of your national identity card or unexpired passport
- ❑ a certified copy of a proof of your residential and permanent addresses (e.g. utility bill or bank statement within the recent 3 months)
- ❑ a letter of consent from your employer of agreeing the opening of this account if your employer is a company licensed or registered with the Securities and Futures Commission in Hong Kong.

For Corporate Applicant, please enclose:
- ❑ a certified copy of the Certificate of Incorporation
- ❑ a certified copy of the Business Registration Certificate, where applicable
- ❑ a certified copy of the Memorandum & Articles of Association
- ❑ a certified copy of the Board resolution evidencing the approval of the opening of the account and conferring authority on those who will operate it
- ❑ a certified copy of the minutes of shareholders evidencing the election of directors
- ❑ an original or a certified copy of the Authorized signatories list with specimen signatures of authorized signatories
- ❑ a list of directors
- ❑ a list of shareholders and final economic beneficiaries
- ❑ certified copies of identification documents of at least two authorized persons entitled to operate the account
- ❑ certified copies of identification documents of at least two directors (including the managing director)
- ❑ certified copies of identification documents of substantial shareholders (a person who owns 10% or more of the issued share capital of a corporation) and, where applicable, ultimate principal beneficial owners
- ❑ certified copies of identification documents of the final economic beneficiary of the transaction. If this information is not available, certified copies of identification documents of shareholders who own 10% or more of the capital.
- ❑ the latest available audited annual report
- ❑ a copy of the customer acceptance policies and procedures adopted by the corporate applicant (if the company is dealing on behalf of other parties)

Please return the documents to :

HSBC Institutional Trust Services (Asia) Limited
17/F Tower 2 & 3 HSBC Centre
1 Sham Mong Road, Kowloon, Hong Kong

Thank you for investing in the fund(s). A contract note will be sent to you shortly after the acceptance of the application. If you have any queries or you need any assistance, please call HSBC Institutional Trust Services (Asia) Limited at Tel: (852) 3663-5500 or Fax: (852) 3409-2694/2801-4928.

請閣下於交回申請表格前，細心核對以下各點：
- ❑ 請確定閣下已填妥及簽署申請表格
- ❑ 請按照指示付款
- ❑ 請交回匯款指示副本或直接存款收條副本 (如適用)

個人申請，請連同：
- ❑ 核證之國民身份証或有效護照副本
- ❑ 核證之住址及永久地址證明副本（如過去三個月之公共事業繳費單或銀行月結單）
- ❑ 如閣下僱主為香港證監會牌照持牌人或註冊人，僱主同意閣下申請此戶口之同意書

公司申請，請連同：
- ❑ 核證之公司註冊證書副本
- ❑ 核證之商業執照副本（如適用）
- ❑ 核證之公司組織章程及細則副本
- ❑ 核證之證明公司批准開戶及授權有關人士運作帳戶的董事局決議案副本
- ❑ 核證之有關委任董事的股東會議記錄
- ❑ 授權簽署名單和簽名式樣正本或獲核證之副本
- ❑ 公司董事名單
- ❑ 公司股東及最終經濟受益人名單
- ❑ 核證之可操作本投資帳戶人士身份證明文件副本
- ❑ 核證之最少兩名董事（包括董事總經理）身份證明文件副本
- ❑ 核證之大股東（即擁有公司已發行股本的面值的 10%或以上的任何人士）及最終實益持有人身份證明文件副本
- ❑ 核證之此交易上最終經濟受益人之身份證明文件副本，如沒有此資料，核證之擁有股本面值 10%或以上的股東身份證明文件副本
- ❑ 最新可用經審計的年度財務報告
- ❑ 公司申請人之客戶接納政策及程序副本（如公司申請人是代表其他人仕進行買賣）

請交回：滙豐機構信託服務（亞洲）有限公司
香港九龍深旺道 1 號滙豐中心 2&3 座 17 樓

多謝閣下投資基金，在辦妥申請後，交易單據將隨後寄出。如有任何查詢或需要任何協助，請致電匯豐機構信託服務 (亞洲) 有限公司 (852) 3663-5500 或傳真: (852) 3409-2694/2801-4928。

9. Personal Data 個人資料

Personal Information Collection Statement relating to the Personal Data (Privacy) Ordinance, as amended (the "Ordinance")

Amundi Hong Kong Limited ("Amundi HK") is committed to maintaining your personal data in accordance with the requirements of the Ordinance and will take all reasonable steps to ensure that your personal data is kept secure against unauthorized access, loss, disclosure and destruction.

This statement clearly stipulates (I) Amundi HK's purposes of data collection and maintenance of data, (II) the classes of persons Amundi HK can transfer personal data to, (III) your rights to access and correct your data and (IV) the framework under which Amundi HK may use your personal data for direct marketing, in compliance with the Ordinance and all other applicable regulations and rules governing personal data use in Hong Kong from time to time.

Nothing in this statement shall limit your rights as a data subject under the Ordinance and all other applicable regulations and rules governing personal data use in Hong Kong from time to time.

I. Purposes of data collection and maintenance of data

(a) From time to time, it is necessary for clients and various other individuals ("Data Subject(s)") to supply Amundi HK with data in connection with (i) various matters such as account opening or continuation of relationship, (ii) provision of services to Data Subject(s) and/or (iii) compliance with any applicable law, regulation or guideline issued by any regulatory body or authority.

(b) Failure to supply such data may result in Amundi HK being unable to open an account or continue with the provision of services to clients.

(i) The purposes for which data related to Data Subject(s) may be used will vary depending on the nature of the Data Subject(s)' relationship with Amundi HK. Information provided shall be held by Amundi HK or any of its affiliates and/or their delegates or sub-delegates as data processors, as appropriate, and used for any of the following purposes: processing applications for accounts and services;

(ii) provision of asset management, dealing and advisory services and daily operation of the accounts and services;

(iii) investments in other funds managed by Amundi HK or any of its affiliates;

(iv) designing financial services or related products for Amundi HK clients' use;

(v) promotion and marketing of investment products and/or services as further contemplated under Section IV below;

(vi) meeting regulatory requirements of anti-money laundering and counter-terrorist financing binding on Amundi HK or any of its affiliates or their delegates or sub-delegates, or complying with any group policies, procedures or program in relation thereto;

(vii) complying with the disclosure obligations, requirements, arrangements binding on Amundi HK or any of its affiliates or their delegates or sub-delegates pursuant to:

(1) any law and/or regulation or according to any guideline or guidance given or issued by any legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers; within or outside Hong Kong existing currently or in the future;

(2) any present or future contractual or other commitment with local or foreign legal, regulatory, governmental, tax, law enforcement or other authorities or self-regulatory or industry bodies or associations of financial services providers, that is assumed by or imposed on Amundi HK or any of its affiliates by reason of its financial, commercial, business or other interests or activities in or related to the jurisdiction of the relevant local or foreign legal, regulatory, governmental, tax, law enforcement or other authority, or self-regulatory or industry bodies or associations.

(viii) enabling an actual or proposed assignee of Amundi HK or any of its affiliates, or participant or sub-participant of the rights of Amundi HK or those of any of its affiliates in respect of the Data Subject(s), to evaluate the transaction intended to be the subject of the assignment, participation or sub-participation;

(ix) any other purpose directly related to the above .

(c) Data collected may be maintained for such period of time which may be required under applicable law and as otherwise needed to fulfill the purposes set out in sub- section (c) above.

II. Classes of persons Amundi HK can transfer personal data to

(e) Data held will be kept confidential but Amundi HK may provide such information to the following parties, whether inside or outside Hong Kong, for the purposes set out in sub-section (c) (i) to (ix) above:

(i) holding company, subsidiaries and/or affiliates of Amundi HK;

(ii) agents, contractors, intermediaries and / or service providers of Amundi HK or any of its affiliates providing administrative services, telecommunication services, mailing services, data processing services, data storage services, information technology services, clearing and settlement services, registrar services, custodian services, share distribution services, securities and investment services and/or audit services or other services to Amundi HK in connection with the operation of its business;

(iii) any person under a duty of confidentiality to Amundi HK including any of its affiliates which has undertaken to keep such information confidential;

(iv) any person to whom Amundi HK or any of its affiliates is under an obligation or otherwise required to make disclosure under the requirements of any law binding on or applying to Amundi HK or any of its affiliates, or any disclosure under and for the purpose of any guidelines or guidance given or issued by any legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers with which Amundi HK or any of its affiliates is expected to comply, or any disclosure pursuant to any contractual or other commitment of Amundi HK or any of its affi with local or foreign legal, regulatory, governmental, tax, law enforcement or other authorities, or self-regulatory or industry bodies or associations of financial services providers, all of which may be within or outside Hong Kong and may be existing currently and in the future;

(v) any actual or proposed assignee of Amundi HK or any of its affiliates, or participant or sub-participant or transferee of the rights of Amundi HK or those of any of its affiliates in respect of the Data Subject(s);

(vi) charitable or non-profit making organizations; and

(vii) any person or party to whom Amundi HK or its affiliates is under an obligation to make disclosure under the requirements of any law binding on Amundi HK or any of its affiliates.

(f) Protecting your privacy is a priority to us. Your information will be held in confidence and not passed to any third party, other than as already indicated above, without your permission or unless required by law.

(g) Within Amundi HK, access to non-public information about a client is restricted to employees who need to know the information to provide products or services to the client. Amundi HK maintains physical, electronic and procedural safeguards that protect client information.

III. Right to access and correct personal data

(h) Under the Ordinance, you have the right:
- (i) to check whether Amundi HK holds data about you, and have access to such data;
- (ii) to require Amundi HK to correct any data relating to you which is inaccurate;
- (iii) to ascertain Amundi HK's policies and practices in relation to data and to be informed of the kind of personal data held by Amundi HK.

(i) In accordance with the terms of the Ordinance, Amundi HK has the right to charge a reasonable fee for the processing of any data access request.

(j) Requests for access to data or correction of data or for information regarding policies and practices and kind of data held shall be sent to the following address:

Data Protection Officer
Amundi Hong Kong Limited
901-908 One Pacific Place
No. 88 Queensway, Hong Kong

Please remember to identify yourself when writing to the Data Protection Officer.

IV. Use of personal data by Amundi HK in direct marketing

(k) Amundi HK intends to use Data Subject(s) name(s), job title(s), mailing address(es), email address(es), phone number(s), fax number(s), products and services portfolio information, transaction pattern and behavior and/or financial background in marketing communication such as direct-mails, emails, and/or telephone calls in relation to the promotion and the marketing of investment products managed by and/or investment strategies or services developed by Amundi HK, its holding company, subsidiaries and/or affiliates, markets updates and invitations to events, as well as donations and contributions for charitable and/or non-profit making purposes, and Amundi HK requires the Data Subject(s)' written consent for that purpose.

(l) In addition to marketing itself investment products managed by and/or investment strategies or services developed by Amundi HK, its holding company, subsidiaries and/or affiliates, markets updates and invitations to events, as well as donations and contributions for charitable and/or non-profit making purposes (together hereinafter referred to as the "Services and Products"), Amundi HK also intends to provide the personal data described in sub-section (k) above to the holding company, the subsidiaries and/or the affiliates of Amundi HK for the use by them in marketing those Services and Products, and Amundi HK requires the Data Subject(s)' written consent for that purpose.

(m) Amundi HK may receive money or other property in return for providing the personal data to the other parties described in sub-section (l) above and, when requesting the Data Subject(s)' written consent, Amundi HK will inform the relevant Data Subject(s) if Amundi HK will receive any money or other property in return for providing the data to such other parties.

(n) Amundi HK may not use personal data in direct marketing as described in sub-section
(k) or provide personal data for use in direct marketing as described in sub-section (l) above, unless it has received the Data Subject(s)' relevant written consent. You may provide your consent for Amundi HK to use your personal data in direct marketing as described in sub-section (k) and/or provide your personal data to other parties for use in direct marketing as described in sub-section (l) by notifying the Marketing Department of Amundi HK, free of charge, to the following address:

Amundi Hong Kong Limited
Marketing Department
901-908 One Pacific Place
No. 88 Queensway, Hong Kong

(o) Please note that, should you decide to give your consent with respect to the use by Amundi HK of your personal data in direct marketing as described in sub-section
(k) or the provision by Amundi HK of personal data for use in direct marketing as described in sub-section (l) above, you may subsequently, at any time and free of charge, request Amundi HK to cease using your personal data in direct marketing or providing your personal data for use in direct marketing, by sending such a request in writing to the Marketing Department of Amundi HK, to the address mentioned in sub-section (n) above.

有關已修訂的《個人資料（私隱）條例》（「條例」）的個人資料收集聲明

東方匯理資產管理香港有限公司（「東方匯理」）將按條例的規定，竭力保存閣下的個人資料，並將採取一切合理步驟，確保閣下的個人資料妥善保存，不被非法使用、遺失、披露及損毀。

本聲明清楚規定(I)東方匯理收集及保存資料的目的，(II)東方匯理可向其傳送個人資料的各類人士，(III)閣下對閣下資料的查閱和更正權利及(IV)東方匯理可據以運用閣下的個人資料進行直接促銷的框架，惟須遵守條例及香港不時制定的所有其他有關使用個人資料的適用規定及規則。

本聲明任何內容並不局限閣下根據條例及香港不時制定的所有其他有關使用個人資料的適用規定及規則作為資料當事人的權利。

I. 收集及保存有關資料的目的

(a) 客戶及其他人士（「資料當事人」）需要不時就(i)各項事宜例如開立帳户，或延續關係，(ii)提供服務予資料當事人及／或(iii)遵守任何監管機構或當局發出的適用法律、規定或指引，向東方匯理提供有關的資料。

(b) 如未能提供有關資料，可能會導致東方匯理無法為客戶開立帳户或繼續提供服務。

(c) 資料當事人的資料之可能用途視乎資料當事人與東方匯理的關係屬何性質而定。所提供的資料將由東方匯理或其聯營公司及／或其代表或次代表作為資料處理人（以適用者為準）持有，供下列目的之用：
- (i) 處理帳戶及有關服務的申請程序；
- (ii) 提供資產管理、買賣及顧問服務及日常帳戶及有關服務行政運作；
- (iii) 投資由東方匯理或其聯營公司管理之其他基金；
- (iv) 設計金融服務或相關產品供東方匯理客戶之用；
- (v) 宣傳推廣下文第 IV 節進一步說明的投資產品及／或服務；
- (vi) 符合對東方匯理或其聯營公司或其代表或次代表具有約束力的有關反洗黑錢及打擊恐怖主義融資的監管規定，或遵守任何與此有關的集團政策、程序或計劃；
- (vii) 履行根據下列各項對東方匯理或其聯營公司或其代表或次代表具約束力的披露責任、 規定、安排：
  - (1) 香港境內或境外、目前或將來存在的任何法律及／或規定或任何由香港境內或境外、目前或將來存在的任何法律、監管、政府、稅務、執法或其他機關，或金融服務供應商的自律監管或行業組織或協會作出或發出的任何指引或指導；
  - (2) 東方匯理或其聯營公司因其位於或跟相關本地或外地的法律、監管、政府、稅務、執法或其他機關，或自律監管或行業組織或協會的司法管轄區有關的金融、商業、業務或其他利益或活動，而向該等本地或外地的法律、監管、政府、稅務、執法或其他機關，或金融服務供應商的自律監管或行業組織或協會承擔或被 彼等施加的任何目前或將來的合約或其他承諾。
- (viii) 使東方匯理或其聯營公司的實際或建議承讓人，或就東方匯理或其聯營公司對資料當事人的權利的參與人或附屬參與人評核其擬承讓、參與或附屬參與所涉交易；
- (ix) 任何其他與上述各項直接有關的目的。

(d) 收集所得來的資料，將按適用法律規定及其他為達成上述(c)段用途所需的時期予以保存。

II. 東方匯理可向其傳送個人資料的各類人士

(e) 所持有之資料將會保密，但東方匯理可能會把該等資料提供予下述的本港或海外各方，作前述(c)(i)至(ix)段列出的用途：
- (i) 東方匯理的控股公司、附屬公司及／或聯營公司；
- (ii) 就東方匯理的業務經營向東方匯理提供行政服務、電訊服務、郵遞服務、數據處理服務、數據儲存服務、資訊科技服務、結算交收服務、登記服務、保管服務、股票分發服務、證券及投資服務及／或核數服務或其他服務的東方匯理或其聯營公司的代理人、承包商、中介人及／或服務供應商；
- (iii) 任何對東方匯理包括其任何聯營公司負有保密責任並已承諾對有關資料保密的人士；
- (iv) 根據對東方匯理或其聯營公司有約束力或適用於東方匯理或其聯營公司之任何法律規定，或根據並為施行由規管東方匯理或其聯營公司之任何法律、監管、政府、稅務、執法或其他機關，或金融服務供應商的自律監管或行業組織或協會作出或發出的並期 儘東方匯理或其聯營公司遵守的任何指引或指導，或根據東方匯理或其聯營公司向本地或外地的法律、監管、政府、稅務、執法或其他機關，或金融服務供應商的自律監管或行業組織或協會的任何合約或其他承諾（以上不論於香港境內或境外及不論目前或將來存在的），而有義務或以其他方式被要求向其披露該等資料的任何人士；
- (v) 東方匯理或其聯營公司的任何實際或建議承讓人，或就東方匯理或其聯營公司對資料當事人的權利的參與人、附屬參與人或受讓人；
- (vi) 慈善團體或非牟利組織；及
- (vii) 東方匯理或其聯營公司根據任何對東方匯理或其聯營公司具有約束力的規定對其負有披露責任的任何人士或各方。

(f) 保障閣下的私隱，對我們茲事體大。閣下的資料將獲保密，除非已在上述提及或屬法例規定，否則不會在未經閣下同意的情況下轉交任何第三方。

(g) 東方匯理只容許有需要知道資料的員工接觸客戶的非公開資料，以便向客戶提供產品或服務。東方匯理採用實體、電子及程序上之監控措施保障客戶的資料。

III. 查閱及更正個人資料的權利

(h) 根據上述條例，閣下有權：
- (i) 查核東方匯理是否持有閣下資料及查閱該等資料；
- (ii) 要求東方匯理更正閣下的不準確資料；
- (iii) 確定東方匯理有關資料的政策和慣例，以及被告知東方匯理所持個人資料的類別。

(i) 根據上述條例的條款，東方匯理有權就處理任何查閱資料的要求徵收合理費用。

(j) 任何關於查閱或更正資料，或索取關於政策與慣例的資料及所持資料類別的要求，應發至下列地址：

香港金鐘道 88 號太古廣場第一期 901-908 室
東方匯理資產管理香港有限公司
保障資料主任

如致函保障資料主任，請務必註明閣下之身份。

IV. 東方匯理在直接促銷中就個人資料的使用

(k) 東方匯理擬把資料當事人之姓名、職銜、郵寄地址、電郵地址、電話號碼、傳真號碼、產品 及服務組合資料、交易模式及行為及／或財務背景，用於市場促銷通訊，例如宣傳促銷由東方匯理、其控股公司、附屬公司及／或聯營公司管理的投資產品及／或由東方匯理、其控股 公司、附屬公司及／或聯營公司發展的投資策略或服務，市場最新消息及邀請參與活動以及 為慈善及／或非牟利用途作出捐款及捐贈的直接郵件、電郵及／或電話通話；東方匯理為此 用途須獲得資料當事人書面同意。

(l) 除自行促銷由東方匯理、其控股公司、附屬公司及／或聯營公司管理的投資產品及／或由東方匯理、其控股公司、附屬公司及／或聯營公司發展的投資策略或服務，市場最新消息及邀 請參與活動以及為慈善及／或非牟利用途作出捐款及捐贈（以下合稱「服務及產品」）外，東方匯理亦擬將以上(k)段所述的個人資料提供予東方匯理的控股公司、附屬公司及／或聯營公司，以供該等人士在促銷該等服務及產品時使用，而東方匯理為此用途須獲得資料當事人書面同意。

(m) 東方匯理可能因如以上(l)段所述將個人資料提供予其他人士而獲得金錢或其他財產的回報。如東方匯理會因提供資料予其他人士而獲得任何金錢或其他財產的回報，東方匯理在徵求資料當事人書面同意時將就此通知有關的資料當事人。

(n) 除非東方匯理已取得資料當事人有關的書面同意，否則東方匯理不會使用個人資料作(k)段所述的直接促銷或提供個人資料用於上文(l)段所述的直接促銷。閣下如同意東方匯理使用閣下的個人資料作(k)段所述的直接促銷及／或向其他人士提供閣下的個人資料用於上文(l)段所述的直接促銷，可通知東方匯理的市場推廣部，無須支付費用，地址如下：

東方匯理資產管理香港有限公司
市場推廣部
香港金鐘道 88 號
太古廣場第一期 901-908 室

(o) 請注意，閣下如決定同意東方匯理使用閣下的個人資料作(k)段所述的直接促銷或同意東方 匯理提供閣下的個人資料用於上文(l)段所述的直接促銷，可隨後於任何時候按上文(n)段所 述地址向東方匯理市場推廣部發出書面要求，要求東方匯理停止使用閣下的個人資料作直接 促銷或提供閣下的個人資料作直接促銷用途，無須支付費用。

10. Your Signature 簽署

Risk Disclosure Statement

Investment involves risk. The price of a security may move up or down, and may become valueless. It is as likely that losses will be incurred rather than profit made as a result of buying and selling securities. Past performance is no guide to future performance. Any decision to invest must be based solely upon the information set forth in the Prospectus / Explanatory Memorandum.

風險披露聲明

投資涉及風險。證券價格可升可跌，甚至變成毫無價值。買賣證券未必一定能賺取利潤，反而可能會招致損失。過往業績並非未來表現指標。作出投資決定前，投資者必須參閱有關說明書。

Transactions of the funds may be settled overseas, fund assets received or held outside Hong Kong are subject to the applicable laws and regulations of the relevant overseas jurisdiction which may be different from the Securities and Futures Ordinance (Cap.571) and the rules made thereunder. Consequently, such fund assets may not enjoy the same protection as that conferred on client assets received or held in Hong Kong.

基金或可能於海外進行交收，在香港以外地方收取或持有的基金資產，是受到有關海外司法管轄區的適用法律及規例所監管的。這些法律及規例與 < 證券及期貨條例 > （第 571 章）及根據該條例制訂的規則可能有所不同。因此，有關基金資產將可能不會享有賦予在香港收取或持有的客戶 資產的相同保障。

I/We hereby acknowledge that I/we have received, read and understood the Prospectus / Explanatory Memorandum of the funds and all the terms and conditions regarding my/our account, and undertake to be bound by the terms and conditions contained therein, as may be amended, modified or supplemented from time to time. I/We certify that I am/we are over 18 years of age; am/are the beneficial owner(s) of this account where applicable and will provide the necessary documents of identification for this account. I/We confirm that the information provided in this Application Form is complete and accurate. I/We confirm and undertake that I/We will notify Amundi of any material change to the information provided by me/us in this Application Form, and acknowledge that Amundi will notify me/us in the event of any material change to the information provided by Amundi in this Application Form.

本人／吾等謹此聲明本人／吾等已收到、詳閱及了解有關說明書及本人／吾等之戶口之條款及限制。本人／吾等將遵照其所載之條款及限制，及日後作出的不時修訂、修改或補充。本人／吾等證實本人／吾等已超過 18 歲，並為本戶口之實益擁有人；而本人／吾等將會提供本戶所需之身份證明文件。本人／吾等確認申請表的資料均真實及正確；並承諾如所提供資料有重大變動，將通知東方匯理資產管理。另外，本人／吾等明白東方匯理資產管理亦將會就本申請表內由東方匯理資產管理所提供之資料的任何重大變動，通知本人／吾等。

I/We hereby confirm that I/we was/were invited to read the risk disclosure statement as indicated above, to ask questions and take independent advice if I/we wish.I/we am/are aware that if Amundi solicits the sale of or recommend any financial product to me/us, the financial product must be reasonably suitable for me/us having regard to my/our financial situation, investment experience and investment objectives. No other provision of this application form or any other document may Amundi require me/us to sign and no statement may Amundi require me/us to make derogates from this provision.

本人／吾等確認本人／吾等已獲邀閱讀上述風險披露聲明，提出問題，及徵求獨立的意見（如本人／吾等有此意見）。本人／吾等注意到，假如東方匯理資產管理向本人／吾等招攬銷售或建議任何金融產品，該金融產品必須是經考慮本人／吾等的財政狀況、投資經驗及投資目標後而認為合理地適合本人／吾等的。本申請表的其他條文或任何其他東方匯理資產管理可能要求本人／吾等簽署的文件及東方匯理資產管理可能要求本人／吾等作出的聲明概不會減損本條款的效力。

I/We acknowledge that the Management Company of the Funds (or its delegate) has the right to accept or refuse any application, and that the contract for the subscription or redemption of interests in the funds shall be effected at the time and in the place where it is accepted by the management company or its delegate.

本人／吾等確認基金之管理公司（或其代表）擁有接受或拒絕任何基金投資申請的權利，而認購或贖回有關基金權益的合約將於管理公司或其代表接納該申請的時間及地點生效。

I/We hereby certify that the units/shares are not being acquired for the benefit of, directly or indirectly, any « U.S. Person » (as defined in the U.S. Regulations and in the relevant explanatory memorandum / prospectus) nor in violation of any applicable law, and that I/We will not, subject to the conditions set forth and in the relevant explanatory memorandum / prospectus, sell or offer to sell or transfer units/shares in the United States of America or to or for the benefit of a « U.S. Person ».

本人／吾等謹此證明，所購入的單位／股份並非直接或間接為任何「美國人士」（按美國規例及有關說明書的定義）的利益而購入，亦並未違反任何適用法律，而且本人／吾等在遵守有關說明書規定的條件下，不會在美國或向「美國人士」或為「美國人士」的利益而出售或要約出售或轉讓單位／股份。

By signing this Application Form I/We confirm that I am not / we are not a US citizen, that I am not / we are not resident in the US, and that I/We do not have an obligation to pay tax to the US tax authorities on my/our worldwide income.

本人／吾等簽署本申請表，即表示確認本人／吾等並非美國公民，亦並非居於美國，而且並沒有責任就本人／吾等在全球各地的收入向美國稅務機關繳稅。

I/We understand and agree that my/our account information (including, but not limited to, the personal data as referred to in section 9 above) may be provided to the US tax authorities should I/We become a US Person as defined in section 1.1471-1(b) of the US tax code at a future date.

本人／吾等明白而且同意，若本人／吾等日後成為美國稅法第 1.1471-1(b)界定的美國人士，可能須向美國稅務機關提供本人／吾等的賬戶資料（包括但不限於上文第 9 條所述的個人資料）。

I/We understand and agree that my/our personal data may be used and disclosed for the purposes and to the persons specified in the section 9 above.

本人／吾等明白而且同意，本人／吾等的個人資料可作上文第 9 條指定的用途並且為該等用途及向上文第 9 條指定的人士披露。

I/We understand and agree that the terms and conditions of this Application Form may be amended at any time in such manner and to such extent as Amundi may from time to time in its absolute discretion think fit. Such amendments made by Amundi shall take effect and be binding on an investor (i) within 30 days from the date an investor is notified in writing of the relevant amendments to the terms and conditions of this Application Form or (ii) immediately upon notice where such amendments are made to comply with applicable legal or regulatory requirements. An investor's continued investment in the fund(s) upon expiry of the notification period noted in (i) above shall constitute deemed acceptance by an investor of such amendments."

本人／吾等明白而且同意，本申請表的條款和條件可按東方匯理不時全權決定為適當的方式及範圍隨時予以修訂。東方匯理所作的該等修訂：(i)在就對本申請表的條款和條件所作相關修訂書面通知投資者之日起三十天內生效並對投資者具有約束力，或(ii)若該等修訂是為遵守適用的法律和監管規定，則於發出通知後立即生效並對投資者具有約束力。在上述(i)項所述通知期屆滿後投資者若繼續投資於基金，即視作投資者已接受該等修訂。

First Holder 第一持有人	Second Holder 第二持有人
Print Name (Surname, firstname) 姓名 (全名)	Print Name (Surname, firstname) 姓名 (全名)

Date 日期 ______________

NOTE： All joint holders must sign. A corporation must sign under the hand of a duly authorised person with a company chop.

Company's Chop for Corporate Applicant 公司申請人公司蓋章

備註： 所有聯名持有人必需簽署。公司戶口需由該公司授權人士簽署並蓋上公司蓋章。

Amundi or any of its affiliates and/or their delegates or sub-delegates reserves the right to waive some identity documents or to request additional documents and information to allow it to complete adequate due diligence.

東方匯理資產管理或其聯營公司及／或其代表或次代表保留或省免部份證明文件或要求額外文件 及資料的權利，以完成充份的盡職審查。

Amundi or any of its affiliates and/or their delegates or sub-delegates reserves the right to request such information, either at the time of application is made for shares or thereafter, as is necessary to verify the identity of an investor (or each of the investors in the case of joint investors) and/or to periodically update its records.

東方匯理資產管理或其聯營公司及／或其代表或次代表保留權利向投資者在申請認購股份或其後 要求提交資料，以核實投資者（以聯名申請的投資者，須提供每名投資者）的身份及／或定期更新記錄。

For Distributor's Completion 分銷商需填寫：

We certify that the signing of this Application Form is made by the account holder(s) so named in this Application Form; the attached copies of the identity documents of the account holder(s) are true copies; and the residential address of the account holder(s) is the same as that of our records.

茲證明本申請表是由列於本申請表上的帳戶持有人所簽署。茲證明隨附的戶口持有人之身份證明文件副本為真確副本；及帳戶持有人之住址與吾等之紀錄相符。

Distributor's Name 分銷商名稱	Distributor's Tel. No. 分銷商電話號碼

Distributor's Chop 分銷商蓋章

For Distributor's Use Only (Commission Arrangement) 祇適用於分銷商填寫（手續費安排）

You may at any time and without charge, choose not to be included in any of our future promotional mailing. To arrange for the exclusion simply call Amundi at (852) 2521-4231.

你可隨時選擇不收取本公司日後寄出的宣傳郵件，並無須支付任何費用。如欲作此安排，請致電東方匯理資產管理香港有限公司(852) 2521-4231 與本公司聯絡。